## Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

RECEIVED

TO 2800 MAIL ROOM

PATENT

Attorney Docket: H0001266

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JUN 2 2 2001

Art Unit:

2834

Raymond W. Borden et al.

Serial No.: 09/834,595

Examiner: Not yet assigned.

Filing Date: 04/13/2001

For:

Multi-Pole High Speed Generator

with a Modular Rectifier Circuit

### REQUEST FOR CORRECTION OF FILING RECEIPT

ASSISTANT COMMISSIONER FOR PATENTS Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Dear Sir:

Attached is a copy of the filing receipt received from the USPTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

There is an error with respect to the following data that was incorrectly reported.

The filing receipt does not list all the Applicants and their cities and states of residence. The missing Applicants and their cities and states of residence are: Jim Lengel, Oro Valley, AZ; Kieran Doherty, Oro Valley, AZ; Gregor McDowall, Tucson, AZ; Gerald Tornquist, Tucson, AZ. Please add the following Applicants after the name of Mr. Borden and before the name of Mr. Xu:

> Jim Lengel, Oro Valley, AZ; Kieran Doherty, Oro Valley, AZ; Gregor McDowall, Tucson, AZ; Gerald Tornquist, Tucson, AZ;

Applicants respectfully request that a corrected filing receipt be issued with the corrected data as per the enclosed copy of the filing receipt.

Date: 6-19-01

Respectfully submitted,

Keith Newburry

Attorney for Applicant(s)

Reg. No. 38,980

receipt SEST PTO/SB/21(6-98)

Approved for use through 09/30/2000. OMB 0651 0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE type a plus sign (+) inside this box -Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displaysvalid OMB control number. **Application Number** 09/834,595 TRANSMITTAL Filing Date 04/13/2001 **FORM** First Named Inventor Raymond W. Borden, et al. (to be used for all correspondence after initial filing) Group Art Unit 2834 Examiner Name Not yet assigned. Total Number of Pages in This Submission Attorney Docket Number H0001266 **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form to Group (for an Application) Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Additional Enclosure(s) Change of Correspondence Extension of Time Request (please identify below): Address **Terminal Disclaimer Express Abandonment Request** Small Entity Statement Information Disclosure Statement Request for Refund Certified Copy of Priority Remarks Document(s) - Request to Correct Filing Receipt Response to Missing Parts/ - Copy of marked-up Filing Receipt Incomplete Application - Copy of Declaration for Utility Patent Application Response to Missing - Postcard Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Keith Newburry, Esq. Individual name Signature Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name Keith Newburry Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Signature

tates Patent and Trademark Office

67

APPLICATION NUMBER

FILING DATE

GRP ART, UNIT- FIL FEE-REC'D ATTY.DOCKET.NO

DRAWINGS

**CONFIRMATION NO. 4262** 

IND CLAIMS

09/834,595

Keith Newburry, Esq.

Law Dept. AB2 P.O. Box 2245 Morristown, NJ 07962

Honeywell International, Inc.

04/13/2001

2834

H0001266

6

THEM RECORDS - MTO

1 DINLOW TO

JUN 12 2001

FILING RECEIPT

Jim Lengel, Oro Valley, AZ;

Kieran Doherty, CroValley, AZ; Gregor McDowall, Tucson, AZ; Gerald Tornquist, Tucson, AZ;

Date Mailed: 06/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Raymond W. Borden, Farmingdale, NJ; <sup>Λ</sup>Ming Xu, Tucson, AZ;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/04/2001

Projected Publication Date: 10/17/2002

Non-Publication Request: No

Early Publication Request: No

**Title** 

Multi-pole high speed generator with a modular rectifier circuit

**Preliminary Class** 

310

Data entry by: BEYENE, MELKAM

Team : OIPE

Date: 06/05/2001



# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

#### PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231